



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/698,127	10/30/2000	Tetsuhide Takeyama	PM 271038 SPO-2437

Pillsbury Madison & Sutro LLP
Intellectual Property Group
Ninth Floor
1100 New York Avenue, NW.
Washington, DC 20005-3918



FORMALITIES LETTER



OC000000005643588

Date Mailed: 12/28/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

01/31/2001 SDENB001 00000003 05696127

01 FC:101	\$10.00 0P
02 FC:103	190.00 0P
03 FC:104	270.00 0P
04 FC:105	130.00 0P
05 FC:109	130.00 0P

- The balance due by applicant is \$ 970.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3
Page 1 of 2

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility ApplicationsPATENT
APPLICATION**COMPLETION Under
Rule 53(f)**In re PATENT APPLICATION of
Inventor(s): TAKEYAMA, Tetsuhide
Appln. No.: 09 | 698,127Attn: Application Division
Atty.Dkt. PM 271038 | SPO-2437
Series Code ↑ Serial No. ↑ M# Client Ref

Filed: October 30, 2000

Title: OBSERVATION OPTICAL SYSTEM AND PHOTOGRAPHING OPTICAL SYSTEM AND APPARATUS
USING THE SAMEHon. Commissioner of Patents
Washington, DC 20231

Date: January 30, 2001

Sir:
The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** copy attached not yet received
 2. Signed Declaration attached. Original Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and
 "X" box 2A only if top box of the Declaration is X'd and file application copy, or
 "X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
 2B. The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
 3. Specification originally filed in non-English language; hence verified translation attached of:
 a. Abstract
 b. # 64 pages of Specification(only spec. & claims)
 c. Drawing(s) 16
 No of Sheets
 Fig(s). 1 - 21

4. Letter filing formal drawing attached.
 5. Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
 6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in JAPAN

- 8.
- | Application No. | Filing Date | Application No. | Filing Date |
|-----------------|------------------|-----------------|-------------|
| (1) 2000-318253 | October 13, 2000 | (2) | |
| (3) | | (4) | |
| (5) | | (6) | |

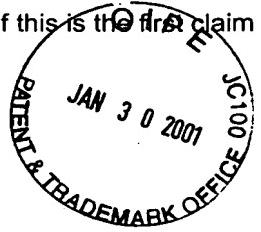
Completion Under Rule 53(b)

9. 1 (No.) Certified copy (copies): attached; previously filed (date) filed on _____

10. Small Entity Status is Not claimed is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11. Attached:

12. Preliminary Amendment:



THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity	Fee Code
13. Basic Filing Fee	Design Application Not Design Application	\$320/\$160 \$710/\$355	+710		106/26 101/201
14. Total Effective Claims	31	minus 20 =	11	x \$18/\$9	+198
15. Independent Claims	2	minus 3 =	0	x \$80/\$40	+0
16. If <u>any</u> proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$270/\$135	+270
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+130
18.				FILING FEE ENCLOSED =	\$1308
19. Original due date:	February 28, 2001				
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo) (2mos) (3mos) (4mos)	\$110/\$55 = \$390/\$195 = \$890/\$445 = \$1390/\$695 =	+0		115/215 116/216 117/217 118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee		\$130	+130		139
22. If "assignment" box 5 is X'd, add recording fee.		\$40	+40		581
23. Petition Fee for		\$130	+0		
24.				TOTAL FEE ENCLOSED =	\$1478

Our Deposit Account No. 03-3975

Our Order No. 61069

271038

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

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Atty/Sec: GLK/mhn

By Atty: G. Lloyd Knight Reg. No. 17698
Sig: G. Lloyd Knight Fax: (202) 822-0944
Tel: (202) 861-3090

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments